

PTO-1390 (Rev. 02-2005)

## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER 082368-004800US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

|           | CONCERNING A FILING U   | 10/53953A  |  |  |  |  |  |  |  |
|-----------|---|--|--|--|--|--|--|--|--|
| INTERN    | ATIONAL APPLICATION NO.<br>PCT/JP03/16549   | INTERNATIONAL FILING DATE December 24, 2003  | PRIORITY DATE CLAIMED December 24, 2002  |  |  |  |  |  |  |
| TITLE O   | INVENTION HIGHLY EFFIC  |  | ORS AND METHODS FOR GENE   |  |  |  |  |  |  |
| APPLICA   | NT(S) FOR DO/FO/US  | i UMEDA; Takeshi MATSUI; and I   | Mayumi NAKAYAMA  |  |  |  |  |  |  |
| Applicant | herewith submits to the United States   | Designated/Elected Office (DO/EO/US) th  | e following items and other information:   |  |  |  |  |  |  |
| 1. 🛛      | This is a FIRST submission of items of  | oncerning a submission under 35 U.S.C. 3   | 371.   |  |  |  |  |  |  |
| 2.        | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.   |  |  |  |  |  |  |  |  |
| 3. 🗌      | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |  |  |  |  |  |  |  |
| 4. 🛛      | The US has been elected (Article 31).   |  |  |  |  |  |  |  |  |
| 5.        | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |  |  |  |  |  |  |  |
|           | a.  is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |  |
|           | b. As been communicated by the  | e International Bureau.  |  |  |  |  |  |  |  |
|           | c. is not required, as the application  | ion was filed in the United States Receivin  | g Office (RO/US).  |  |  |  |  |  |  |
| 6. 🛚      | An English language translation of the  | International Application as filed (35 U.S.  | C. 371(c)(2)).   |  |  |  |  |  |  |
|           | a. X is attached hereto.  |  |  |  |  |  |  |  |  |
|           | b. has been previously submitted  | i under 35 U.S.C. 154(d)(4).   | `  |  |  |  |  |  |  |
| 7. 🛚      | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |  |  |  |  |  |  |  |
|           | a.  are attached hereto (required only if not communicated by the International Bureau).  |  |  |  |  |  |  |  |  |
|           | b.  have been communicated by the International Bureau.   |  |  |  |  |  |  |  |  |
|           | c. have not been made; however  | , the time limit for making such amendmer  | nts has NOT expired.   |  |  |  |  |  |  |
|           | d. A have not been made and will r  | not be made.   |  |  |  |  |  |  |  |
| 8. 🗌      | An English language translation of the  | amendments to the claims under PCT Art   | ticle 19 (35 U.S.C. 371(c)(3)).  |  |  |  |  |  |  |
| 9. 🗌      | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |  |  |  |  |  |  |  |
| 10.       | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                    |  |  |  |  |  |  |  |  |
| Item      | s 11 to 20 below concern document   | (s) or information included:   |  |  |  |  |  |  |  |
| 11. 🗌     | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.  |  |  |  |  |  |  |  |
| 12.       | An assignment document for recording  | g. A separate cover sheet in compliance v  | vith 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |  |
| 13. 🛚     | A preliminary amendment.  |  | ·  |  |  |  |  |  |  |
| 14. 🛚     | An Application Data Sheet under 37 C  | FR 1.76.   |  |  |  |  |  |  |  |
| 15. 🗌     | A substitute specification.   |  |  |  |  |  |  |  |  |
| 16. 🔲     | A power of attorney and/or change of  | address letter.  | •  |  |  |  |  |  |  |
| 17. 🛛     | A computer-readable form of the sequ  | ence listing in accordance with PCT Rule   | 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825.                                       |  |  |  |  |  |  |
| 18.       | A second copy of the published Intern   | ational Application under 35 U.S.C 154(d)  | (4).   |  |  |  |  |  |  |
| 19.       | A second copy of the English language   | e translation of the international application   | n under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |
| 20. 🛚     | Other items or information: Expres  | ss Mail Label No.: <u>EV 566968221 US;</u> Par<br>publication of the International Application<br>International Search Report; | per Copy of the Sequence Listing; copy of the n (WO 2004/058981 A1); Copy of the |  |  |  |  |  |  |

## JC05 Rec'd PCT/PTO 17 JUN 2005

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| U.S. APPLICATION NO. 10 37 CER 15) INTERNATIONAL APPLICATION NO. PCT/JP03/16549  |  |                        |   |           |            | ATTORNEY'S DOCKET NUMBER 082368-004800US |              |  |  |  |
|--|--|------------------------|---|-----------|------------|--|--------------|--|--|--|
|  |  |                        |   |           |            |  |              |  |  |  |
| l  | The following fees have been submitted:  21. Sasic national fee            |                        |   |           | 200        | CALCULATIONS                             | PTO USE ONLY |  |  |  |
| 22. Examin If International prel PCT Article 33(   | nation fee<br>iminary examination<br>1)-(4)                                | \$ 200                 |   |           |            |  |              |  |  |  |
| 23. Search<br>Search fee (37 CFI<br>Internation<br>International Searc   | <del></del>  | \$ 400                 |   |           |            |  |              |  |  |  |
|  | TOTAL OF 21, 22  | and 23 =               |   |           |            | \$ 900                                   |              |  |  |  |
| sequence list  | e for specification and<br>ing or computer progr<br>50 for each additional |                        | ·.  |           |            |  |              |  |  |  |
| Total Sheets   | Extra Sheets   |                        | mber of each additional 50 or fraction ereof (round up to a whole number) |           | RATE       |  |              |  |  |  |
| - 100 =  | /50 =  | •                      | × \$250   |           | x \$250    | \$                                       |              |  |  |  |
|  | <b>0.00</b> for furnishing the riority date (37 CFR)                       | \$                     |   |           |            |  |              |  |  |  |
| CLAIMS   | NUMBER   |                        | NUMBER EXTRA  | RATE      |            |  | r            |  |  |  |
| Total claims   |  | - 20 =                 |   | x \$ 50   |            | \$                                       |              |  |  |  |
| Independent clai   |  | - 3 =                  |   | х         | \$200      | \$                                       |              |  |  |  |
| MULTIPLE DEPE  | NDENT CLAIM(S)   | \$                     |   |           |            |  |              |  |  |  |
|  |  |                        | TOTAL OF ABO  |           |            | <b>\$</b> 0                              |              |  |  |  |
| Applicant cla  | ims small entity sta   | us. See 37             | CFR 1.27. Fees above  | are reduc | ed by 1/2. | \$                                       |              |  |  |  |
|  |  | \$ 900                 |   |           |            |  |              |  |  |  |
|  | \$130.00 for furnishing riority date (37 CFR                               | \$                     |   |           |            |  |              |  |  |  |
|  | 120 2 110  |                        | TO.   | TAL NATIO | ONAL FEE = | \$ 900                                   |              |  |  |  |
|  | the enclosed assign<br>an appropriate cove                                 | \$                     |   |           |            |  |              |  |  |  |
|  |  |                        | TOTA  | L FEES E  | NCLOSED =  | \$ 900                                   |              |  |  |  |
|  |  | Amount to be refunded: | \$  |           |            |  |              |  |  |  |
|  |  |                        |   |           |            | Amount to be charged:                    | \$ 900       |  |  |  |
| a. 🔲 A check   | a. A check in the amount of \$ to cover the above fees is enclosed.        |                        |   |           |            |  |              |  |  |  |
| b. Please charge my Deposit Account No. <u>20-1430</u> in the amount of \$ 900 to cover the above fees. A duplicate copy of this sheet is enclosed.  |  |                        |   |           |            |  |              |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed.                  |  |                        |   |           |            |  |              |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |                        |   |           |            |  |              |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |  |                        |   |           |            |  |              |  |  |  |
| SEND ALL CORR  | RESPONDENCE TO   |                        |   |           |            |  |              |  |  |  |
| Kevin Bastian  |  |                        |   |           |            |  |              |  |  |  |
| TOWNSEND AND   | TOWNSEND AND   |                        |   |           |            |  |              |  |  |  |
| Two Embarcadero  | o Center, Eighth Flo<br>A 94111-3834                                       |                        |   |           |            |  |              |  |  |  |
|  |  | -                      |   |           |            |  |              |  |  |  |